

***IN THE UNITED STATES PATENT AND TRADEMARK***

Applicant: Anthony L. SMITH et al.

**10/594319**

Title: CLUTCH ASSEMBLY

**IAP5 Rec'd PCT/PTO 27 SEP 2006**

Appl. No.: Unassigned

Filing Date: 9/27/2006

International PCT/US2005/011346

Appl. No.:

International 4/1/2005

Filing Date:

Examiner: Unassigned

Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE**10/594319**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

RELEVANCE OF EACH DOCUMENT

Documents A1 through A8, A17, and A18 listed on the attached PTO/SB/08 were cited in the International Search Report in Applicants' International Application PCT/US2005/011346. A copy of the International Search Report is attached setting forth the portion of each reference considered relevant by the examiner. An English-language counterpart of the foreign-language documents has not been provided. The absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP§609).

Documents A1, A2, A6, and A9 through A16 are in English and the relevance of the documents is described in the present specification.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicants believe that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date: September 26, 2006

By Richard L. Schwaab

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Substitute for form 1449B/PTO				IAP5 Rec'd 11/10/2006 27 SEP 2006 10/594319	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	Unassigned
Date Submitted: September 27, 2006 (use as many sheets as necessary)				Filing Date	9/27/2006
Sheet	1	of	1	First Named Inventor	Anthony L. SMITH
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	032968-0133

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A1	6,585,092	B1		SMITH ET AL.	07-01-2003	
A2	6,318,531	B1		USORO ET AL.	11-20-2001	
A3	2,870,888	A		A.S. GILL, JR.	01-27-1959	
A4	5,429,173	A		WANG ET AL.	07-04-1995	
A5	2001/0021285	A1		NAKAMURA	09-13-2001	
A6	5,896,964	A		JOHNSTON ET AL.	04-27-1999	
A7	5,598,908	A		YORK ET AL.	02-04-1997	
A8	6,450,691	B1		OKUMA ET AL.	09-17-2002	
A9	5,823,309	A		GOPALSWAMY ET AL.	10-20-1998	
A10	5,845,752	A		GOPALSWAMY ET AL.	12-08-1998	
A11	5,848,678	A		JOHNSTON ET AL.	12-15-1998	
A12	5,896,965	A		GOPALSWAMY ET AL.	04-27-1999	
A13	5,960,918	A		MOSER ET AL.	10-05-1999	
A14	6,032,772	A		MOSER ET AL.	03-07-2000	
A15	6,102,177	A		MOSER ET AL.	08-15-2000	
A16	6,173,823	B1		MOSER ET AL.	01-16-2001	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
A17	CH	331642		A	S. SMITH & SONS (ENGLAND) LIMITED	07-31-1958		
A18	CH	329848		A	S. SMITH & SONS (ENGLAND) LIMITED	05-15-1958		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.